



**SECURITIES AND EXCHANGE
COMMISSION OF SRI LANKA**



CAUTIONARY NOTICE

The public is hereby cautioned that the Securities and Exchange Commission of Sri Lanka (SEC) has become aware of a growing number of unsolicited phone calls from persons claiming to be agents of international stock broker firms, offering to facilitate your investment in shares of companies traded on offshore jurisdictions with a promise of guaranteed high returns.

The SEC as the apex regulator of the Capital Market in Sri Lanka is legally empowered only to issue licences to stock broker firms to operate in Sri Lanka. The list of stock broker firms licensed by the SEC is available on our website, www.sec.gov.lk or the website of the Colombo Stock Exchange, www.cse.lk. The SEC does not grant licences to agents to operate in other jurisdictions or act as agents of international stock broker firms.

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